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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/848.736

05/19/2004

Ming-Liang He

V9661.0079

DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP 1177 AVENUE OF THE AMERICAS (6TH AVENUE) NEW YORK, NY 10036-2714



**CONFIRMATION NO. 7270 FORMALITIES LETTER** \*OC000000013194998\*

Date Mailed: 07/09/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

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Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-03)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **FEE TRANSMITTAL** for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

Application Number 10/848,736-Conf. #7270 May 19, 2004 Filing Date Ming-Liang He First Named Inventor Examiner Name Not Yet Assigned 1645 Art Unit V9661 0079 Attorney Docket No.

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$) 105.00							Attorney Docket No. V9001.0079							
METHOD OF PAYMENT (check all that apply)							FEE CALCULATION (continued)							
Check X Credit Money Order Other None						3. A	DDITI	DNAL	FEES	i				
Deposit Account:						١.		<u>.</u>						
Deposit 50 2245								Large Entity Small Entity Fee						
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Deposit Account Dickstein Shapiro Morin &								130	2051	65	Surcharg	ge – late filing fee or oath	65.00	
Name Oshinsky LLP The Director is authorized to: (check all that apply)								50	2052	25	Surcharg sheet.	ge - late provisional filing fee or cover	i	
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Charge fee(s) indicated below X Credit any overpayments							1053				_	lish specification		
Charge any additional fee(s) or any underpayment of fee(s)							1812	2,520	1812	2,520	=	a request for ex parte reexamination		
Charge fee(s) indicated below, except for the filing fee							1804	920*	1804	920*	Examine	ing publication of SIR prior to r action		
to the above-identified deposit account.							1805	1,840*	1805	1,840*	Requesti Examine	ing publication of SIR after er action		
FEE CALCULATION							1251	110	2251	55	Extensio	n for reply within first month		
1. BASIC FILING FEE							1252	420	2252	210	Extensio	n for reply within second month		
Large Entit		I Entity	•				1253	950	2253	475	Extensio	n for reply within third month		
Fee Fee Code (\$)		Fee (\$)		ption	Fee P	aid	1254	1,480	2254	740	Extensio	n for reply within fourth month		
1001 770		385		ee			1255	2,010	2255	1,005	Extensio	n for reply within fifth month		
1002 340	2002	170	Design filing	fee			1401	330	2401	165	Notice of	Appeal		
1003 530	2003	265	Plant filing fe	е			1402	330	2402	165	Filing a b	rief in support of an appeal		
1004 770	2004	385	Reissue filin	g fee			1403	290	2403	145	Request	for oral hearing		
1005 160	2005	2005 80 Provisional filing fee				1451	1,510	1451	1,510	Petition t	o institute a public use proceeding			
SUBTOTAL (1) (\$) 0.00						1452	110	2452	55	Petition t	o revive – unavoidable			
						1453	1,330	2453	665	Petition t	o revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE						1501	1,330	2501	665	Utility iss	ue fee (or reissue)			
				from elow	Fee	Paid	1502	480	2502	240	Design is	ssue fee		
Total Claims		٠•• :	= x	=			1503	640	2503	320	Plant issu	ue fee		
Independent Claims		_ <b>-••</b> :	=	- i			1460	130	1460	130	Petitions	to the Commissioner		
Multiple Dep	endent	,					1807	50	1807	50	Processi	ng fee under 37 CFR 1.17(q)		
Large Entit	y   Small	Entity	<u> </u>				1806	180	1806	180	Submissi	ion of Information Disclosure Stmt		
Fee Fee Code (\$)		Fee (\$)	_	escription	2		8021	40	8021	40		g each patent assignment per (times number of properties)	40.00	
1202 18	2202	9	Claims in excess	of 20			1809	770	2809	385		submission after final rejection 1.129(a))		
1201 86	2201	43	Independent claims in excess of 3		1810	770	2810	385		additional invention to be	$\vdash$			
1203 290										d (37CFR 1.129(b))				
1204 86	2204	2204 43 ** Reissue independent claims over original patent				1801	770	2801	385	•	for Continued Examination (RCE) for expedited examination			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent						1802	900	1802	900		gn application			
						Other fee (specify)								
SUBTOTAL (2) (\$) 0.00						*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 105.00						105.00		
**or number previously paid if greater: For Reissues, soo above					1	100.00 J 2000 : Alling 1 00 1 010								

SUBMITTED BY	BMITTED BY (Complete (if applicable))					
Name (Print/Type)	Charles E. Miller	Registration No. (Attorney/Agent)	24,576	Telephone	(212) 835-1430	
Signature	1/2	· · · · · ·		Date	July <b>21,</b> 2004	



Docket No.: V9661.0079

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Ming-Liang He et al.

Application No.: 10/848,736

Filed: May 19, 2004

For: INHIBITION OF HEPATITIS B VIRUS

(HBV) REPLICATION BY RNA

**INTERFERENCE** 

Confirmation No.: 7270

Art Unit: 1645

Examiner: Not Yet Assigned

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed July 9, 2004, Applicant respectfully submits a Combined Declaration and Power of Attorney and Part 2 Copy of Notice.

At this time we are also submitting an Assignment (w/ Recordation Coversheet), a Power of Attorney or Authorization of Agent and Statement Under 37 C.F.R. §3.73(b) for filing with the U.S. Patent and Trademark Office.

Please charge our Credit Card in the amount of \$105.00 covering the fees set forth in 37 CFR 1.16(e) and 1.21(h). Credit Card Payment Form SB-2038, with a signature from an authorized cardholder, is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed

Application No.: 10/848,736 Docket No.: V9661.0079

herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-2215, under Order No. V9661.0079.

By\_\_\_

Dated: July 21, 2004

Respectfully submitted,

Charles E. Miller

Registration No.: 24,576

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